

**APPARATUS AND METHOD FOR SWAPPING-OUT REAL MEMORY BY
INHIBITING I/O OPERATIONS TO A MEMORY REGION**

BACKGROUND OF THE INVENTION

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1. Technical Field:

The present invention is directed to an improved data processing system. More specifically, the present invention provides an apparatus and method for inhibiting
10 input/output (I/O) operations to a memory region so that real memory associated with the memory region may be swapped-out.

2. Description of Related Art:

15 In a System Area Network (SAN), such as an InfiniBand (IB) network, the hardware provides a message passing mechanism that can be used for Input/Output devices (I/O) and interprocess communications (IPC) between general computing nodes. Processes executing on
20 devices access SAN message passing hardware by posting send/receive messages to send/receive work queues on a SAN channel adapter (CA). These processes also are referred to as "consumers."

The send/receive work queues (WQ) are assigned to a
25 consumer as a queue pair (QP). The messages can be sent over five different transport types: Reliable Connected (RC), Reliable Datagram (RD), Unreliable Connected (UC), Unreliable Datagram (UD), and Raw Datagram (RawD). Consumers retrieve the results of these messages from a
30 completion queue (CQ) through SAN send and receive work completion (WC) queues. The source channel adapter takes care of segmenting outbound messages and sending them to

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the destination. The destination channel adapter takes care of reassembling inbound messages and placing them in the memory space designated by the destination's consumer.

5 Two channel adapter types are present in nodes of the SAN fabric, a host channel adapter (HCA) and a target channel adapter (TCA). The host channel adapter is used by general purpose computing nodes to access the SAN fabric. Consumers use SAN verbs to access host channel
10 adapter functions. The software that interprets verbs and directly accesses the channel adapter is known as the channel interface (CI).

Target channel adapters (TCA) are used by nodes that are the subject of messages sent from host channel
15 adapters. The target channel adapters serve a similar function as that of the host channel adapters in providing the target node an access point to the SAN fabric.

The SAN described above uses the registration of
20 memory regions to make memory accessible to HCA hardware. Using the verbs defined within the SAN specification, these memory regions must be pinned, i.e. they must remain constant and not be paged out to disk, while the HCA is allowed to access them.

25 When the memory region is pinned it may not be used by any other application, even if the memory region is not being used by the application that owns it. Thus, it would be beneficial to have an apparatus and method whereby all or part of the memory that makes up a memory
30 region may be re-used by another application during the period it is not being used by the owning application.

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SUMMARY OF THE INVENTION

The present invention provides an apparatus and method for swapping out real memory by inhibiting
5 input/output (I/O) operations to a memory region. The apparatus and method provide a mechanism in which a quiesce indicator is provided in a field containing the current outstanding I/O count associated with the memory region whose real memory is to be swapped out. The
10 current I/O field and the quiesce indicator are used as a means for communicating between a shared resource arbitrator and a quest consumer.

When the quiesce indicator is set, the guest consumer is informed that it should not send any further I/O operations to that memory region. When the number of pending I/O operations against the memory region is zero, a valid bit in a protection table is set to invalid, and the real memory associated with the memory region may be swapped out. Thereafter, when the memory region is swapped back in, an address translation table is updated, the valid bit is reset, and the quiesce indicator is reset so that further I/O operations to the memory region may occur.

In this way, a memory region may be swapped out in a system area network with guarantees that additional I/O operations to the memory region will not occur during the swapping out operation. These and other features and advantages of the present invention will be described in, or will become apparent to those of ordinary skill in the art in view of, the following detailed description of the preferred embodiments.

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objectives and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

10 **Figure 1** is a diagram of a distributed computer system is illustrated in accordance with a preferred embodiment of the present invention;

15 **Figure 2** is a functional block diagram of a host processor node in accordance with a preferred embodiment of the present invention;

Figure 3A is a diagram of a host channel adapter in accordance with a preferred embodiment of the present invention;

20 **Figure 3B** is a diagram of a switch in accordance with a preferred embodiment of the present invention;

Figure 3C is a diagram of a router in accordance with a preferred embodiment of the present invention;

25 **Figure 4** is a diagram illustrating processing of work requests in accordance with a preferred embodiment of the present invention;

Figure 5 is a diagram illustrating a portion of a distributed computer system in accordance with a preferred embodiment of the present invention in which a reliable connection service is used;

30 **Figure 6** is a diagram illustrating a portion of a distributed computer system in accordance with a

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Figure 8 is a diagram illustrating a portion of a distributed computer system in accordance with a preferred embodiment of the present invention;

Figure 10 is a diagram illustrating a portion of a distributed computing system in accordance with a preferred embodiment of the present invention in which the structure of SAN fabric subnets is illustrated;

Figure 12 is an exemplary diagram of a two table
20 memory management structure according to the present
invention; and

Figure 13 is a flowchart outlining an exemplary operation for swaping out a memory region according to the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention provides an apparatus and method for registering unpinned memory in a system area network (SAN). The system area network is a distributed computing system having end nodes, switches, routers, and links interconnecting these components. Each end node uses send and receive queue pairs to transmit and receives messages. The end nodes segment the message into packets and transmit the packets over the links. The switches and routers interconnect the end nodes and route the packets to the appropriate end node. The end nodes reassemble the packets into a message at the destination.

With reference now to the figures and in particular with reference to **Figure 1**, a diagram of a distributed computer system is illustrated in accordance with a preferred embodiment of the present invention. The distributed computer system represented in **Figure 1** takes the form of a system area network (SAN) **100** and is provided merely for illustrative purposes, and the embodiments of the present invention described below can be implemented on computer systems of numerous other types and configurations. For example, computer systems implementing the present invention can range from a small server with one processor and a few input/output (I/O) adapters to massively parallel supercomputer systems with hundreds or thousands of processors and thousands of I/O adapters. Furthermore, the present invention can be implemented in an infrastructure of remote computer systems connected by an internet or intranet.

SAN **100** is a high-bandwidth, low-latency network interconnecting nodes within the distributed computer

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system. A node is any component attached to one or more links of a network and forming the origin and/or destination of messages within the network. In the depicted example, SAN 100 includes nodes in the form of

5 host processor node 102, host processor node 104, redundant array independent disk (RAID) subsystem node 106, and I/O chassis node 108. The nodes illustrated in **Figure 1** are for illustrative purposes only, as SAN 100 can connect any number and any type of independent

10 processor nodes, I/O adapter nodes, and I/O device nodes. Any one of the nodes can function as an endnode, which is herein defined to be a device that originates or finally consumes messages or frames in SAN 100.

In one embodiment of the present invention, an error

15 handling mechanism in distributed computer systems is present in which the error handling mechanism allows for reliable connection or reliable datagram communication between end nodes in distributed computing system, such as SAN 100.

20 A message, as used herein, is an application-defined unit of data exchange, which is a primitive unit of communication between cooperating processes. A packet is one unit of data encapsulated by networking protocol headers and/or trailers. The headers generally provide

25 control and routing information for directing the frame through SAN. The trailer generally contains control and cyclic redundancy check (CRC) data for ensuring packets are not delivered with corrupted contents.

SAN 100 contains the communications and management

30 infrastructure supporting both I/O and interprocessor communications (IPC) within a distributed computer system. The SAN 100 shown in **Figure 1** includes a

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In one embodiment, a link is a full duplex channel
20 between any two network fabric elements, such as
endnodes, switches, or routers. Example suitable links
include, but are not limited to, copper cables, optical
cables, and printed circuit copper traces on backplanes
and printed circuit boards.

25 For reliable service types, endnodes, such as host
processor endnodes and I/O adapter endnodes, generate
request packets and return acknowledgment packets.
Switches and routers pass packets along, from the source
to the destination. Except for the variant CRC trailer
30 field, which is updated at each stage in the network,
switches pass the packets along unmodified. Routers

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update the variant CRC trailer field and modify other fields in the header as the packet is routed.

In SAN **100** as illustrated in **Figure 1**, host processor node **102**, host processor node **104**, and I/O chassis **108** include at least one channel adapter (CA) to interface to SAN **100**. In one embodiment, each channel adapter is an endpoint that implements the channel adapter interface in sufficient detail to source or sink packets transmitted on SAN fabric **100**. Host processor node **102** contains channel adapters in the form of host channel adapter **118** and host channel adapter **120**. Host processor node **104** contains host channel adapter **122** and host channel adapter **124**. Host processor node **102** also includes central processing units **126-130** and a memory **132** interconnected by bus system **134**. Host processor node **104** similarly includes central processing units **136-140** and a memory **142** interconnected by a bus system **144**.

Host channel adapters **118** and **120** provide a connection to switch **112** while host channel adapters **122** and **124** provide a connection to switches **112** and **114**.

In one embodiment, a host channel adapter is implemented in hardware. In this implementation, the host channel adapter hardware offloads much of central processing unit and I/O adapter communication overhead. This hardware implementation of the host channel adapter also permits multiple concurrent communications over a switched network without the traditional overhead associated with communicating protocols.

In one embodiment, the host channel adapters and SAN **100** in **Figure 1** provide the I/O and interprocessor

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communications (IPC) consumers of the distributed computer system with zero processor-copy data transfers without involving the operating system kernel process, and employs hardware to provide reliable, fault tolerant communications. As indicated in **Figure 1**, router **116** is coupled to wide area network (WAN) and/or local area network (LAN) connections to other hosts or other routers.

The I/O chassis **108** in **Figure 1** includes an I/O switch **146** and multiple I/O modules **148-156**. In these examples, the I/O modules take the form of adapter cards. Example adapter cards illustrated in **Figure 1** include a SCSI adapter card for I/O module **148**; an adapter card to fiber channel hub and fiber channel-arbitrated loop (FC-AL) devices for I/O module **152**; an ethernet adapter card for I/O module **150**; a graphics adapter card for I/O module **154**; and a video adapter card for I/O module **156**. Any known type of adapter card can be implemented. I/O adapters also include a switch in the I/O adapter backplane to couple the adapter cards to the SAN fabric. These modules contain target channel adapters **158-166**.

In this example, RAID subsystem node **106** in **Figure 1** includes a processor **168**, a memory **170**, a target channel adapter (TCA) **172**, and multiple redundant and/or striped storage disk unit **174**. Target channel adapter **172** can be a fully functional host channel adapter.

SAN **100** handles data communications for I/O and interprocessor communications. SAN **100** supports high-bandwidth and scalability required for I/O and also supports the extremely low latency and low CPU overhead required for interprocessor communications. User clients

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can bypass the operating system kernel process and directly access network communication hardware, such as host channel adapters, which enable efficient message passing protocols. SAN 100 is suited to current
5 computing models and is a building block for new forms of I/O and computer cluster communication. Further, SAN 100 in **Figure 1** allows I/O adapter nodes to communicate among themselves or communicate with any or all of the processor nodes in distributed computer system. With an
10 I/O adapter attached to the SAN 100, the resulting I/O adapter node has substantially the same communication capability as any host processor node in SAN 100.

In one embodiment, the SAN 100 shown in **Figure 1** supports channel semantics and memory semantics. Channel
15 semantics is sometimes referred to as send/receive or push communication operations. Channel semantics are the type of communications employed in a traditional I/O channel where a source device pushes data and a destination device determines a final destination of the
20 data. In channel semantics, the packet transmitted from a source process specifies a destination processes' communication port, but does not specify where in the destination processes' memory space the packet will be written. Thus, in channel semantics, the destination
25 process pre-allocates where to place the transmitted data.

In memory semantics, a source process directly reads or writes the virtual address space of a remote node destination process. The remote destination process need
30 only communicate the location of a buffer for data, and does not need to be involved in the transfer of any data. Thus, in memory semantics, a source process sends a data

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20 In one exemplary embodiment, the distributed
computer system shown in **Figure 1** performs operations
that employ virtual addresses and virtual memory
protection mechanisms to ensure correct and proper access
to all memory. Applications running in such a
25 distributed computed system are not required to use
physical addressing for any operations.

Turning next to **Figure 2**, a functional block diagram of a host processor node is depicted in accordance with a preferred embodiment of the present invention. Host processor node **200** is an example of a host processor node, such as host processor node **102** in **Figure 1**.

In this example, host processor node **200** shown in **Figure 2** includes a set of consumers **202-208**, which are processes executing on host processor node **200**. Host processor node **200** also includes channel adapter **210** and channel adapter **212**. Channel adapter **210** contains ports **214** and **216** while channel adapter **212** contains ports **218** and **220**. Each port connects to a link. The ports can connect to one SAN subnet or multiple SAN subnets, such as SAN **100** in **Figure 1**. In these examples, the channel adapters take the form of host channel adapters.

Consumers **202-208** transfer messages to the SAN via the verbs interface **222** and message and data service **224**. A verbs interface is essentially an abstract description of the functionality of a host channel adapter. An operating system may expose some or all of the verb functionality through its programming interface. Basically, this interface defines the behavior of the host. Additionally, host processor node **200** includes a message and data service **224**, which is a higher-level interface than the verb layer and is used to process messages and data received through channel adapter **210** and channel adapter **212**. Message and data service **224** provides an interface to consumers **202-208** to process messages and other data.

With reference now to **Figure 3A**, a diagram of a host channel adapter is depicted in accordance with a preferred embodiment of the present invention. Host channel adapter **300A** shown in **Figure 3A** includes a set of queue pairs (QPs) **302A-310A**, which are used to transfer messages to the host channel adapter ports **312A-316A**.

Buffering of data to host channel adapter ports **312A-316A** is channeled through virtual lanes (VL) **318A-334A** where each VL has its own flow control. Subnet manager configures channel adapters with the local addresses for
5 each physical port, i.e., the port's LID.

Subnet manager agent (SMA) **336A** is the entity that communicates with the subnet manager for the purpose of configuring the channel adapter. Memory translation and protection (MTP) **338A** is a mechanism that translates
10 virtual addresses to physical addresses and validates access rights. Direct memory access (DMA) **340A** provides for direct memory access operations using memory **340A** with respect to queue pairs **302A-310A**.

A single channel adapter, such as the host channel
15 adapter **300A** shown in **Figure 3A**, can support thousands of queue pairs. By contrast, a target channel adapter in an I/O adapter typically supports a much smaller number of queue pairs. Each queue pair consists of a send work queue (SWQ) and a receive work queue. The send work
20 queue is used to send channel and memory semantic messages. The receive work queue receives channel semantic messages. A consumer calls an operating-system specific programming interface, which is herein referred to as verbs, to place work requests (WRs) onto a work
25 queue.

Figure 3B depicts a switch **300B** in accordance with a preferred embodiment of the present invention. Switch **300B** includes a packet relay **302B** in communication with a number of ports **304B** through virtual lanes such as
30 virtual lane **306B**. Generally, a switch such as switch

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5 Router **300C** includes a packet relay **302C** in communication with a number of ports **304C** through virtual lanes such as virtual lane **306C**. Like switch **300B**, router **300C** will generally be able to route packets from one port to any other port on the same router.

Channel adapters, switches, and routers employ multiple virtual lanes within a single physical link. As illustrated in **Figures 3A, 3B, and 3C**, physical ports connect endnodes, switches, and routers to a subnet. Packets injected into the SAN fabric follow one or more virtual lanes from the packet's source to the packet's destination. The virtual lane that is selected is mapped from a service level associated with the packet. At any one time, only one virtual lane makes progress on a given physical link. Virtual lanes provide a technique for applying link level flow control to one virtual lane without affecting the other virtual lanes. When a packet on one virtual lane blocks due to contention, quality of service (QoS), or other considerations, a packet on a different virtual lane is allowed to make progress.

25 Virtual lanes are employed for numerous reasons,
some of which are as follows: Virtual lanes provide QoS.
In one example embodiment, certain virtual lanes are
reserved for high priority or isochronous traffic to
provide QoS.

Virtual lanes provide deadlock avoidance. Virtual lanes allow topologies that contain loops to send packets across all physical links and still be assured the loops

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won't cause back pressure dependencies that might result in deadlock.

Virtual lanes alleviate head-of-line blocking. When a switch has no more credits available for packets that
5 utilize a given virtual lane, packets utilizing a different virtual lane that has sufficient credits are allowed to make forward progress.

With reference now to **Figure 4**, a diagram illustrating processing of work requests is depicted in
10 accordance with a preferred embodiment of the present invention. In **Figure 4**, a receive work queue **400**, send work queue **402**, and completion queue **404** are present for processing requests from and for consumer **406**. These requests from consumer **402** are eventually sent to
15 hardware **408**. In this example, consumer **406** generates work requests **410** and **412** and receives work completion **414**. As shown in **Figure 4**, work requests placed onto a work queue are referred to as work queue elements (WQEs).

Send work queue **402** contains work queue elements
20 (WQEs) **422-428**, describing data to be transmitted on the SAN fabric. Receive work queue **400** contains work queue elements (WQEs) **416-420**, describing where to place incoming channel semantic data from the SAN fabric. A work queue element is processed by hardware **408** in the
25 host channel adapter.

The verbs also provide a mechanism for retrieving completed work from completion queue **404**. As shown in
Figure 4, completion queue **404** contains completion queue elements (CQEs) **430-436**. Completion queue elements
30 contain information about previously completed work queue elements. Completion queue **404** is used to create a single

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A remote direct memory access (RDMA) read work request provides a memory semantic operation to read a virtually contiguous memory space on a remote node. A memory space can either be a portion of a memory region or portion of a memory window. A memory region references a previously registered set of virtually contiguous memory addresses defined by a virtual address and length. A memory window references a set of virtually contiguous memory addresses that have been bound to a previously registered region.

A RDMA Write work queue element provides a memory semantic operation to write a virtually contiguous memory space on a remote node. The RDMA Write work queue element contains a scatter list of local virtually contiguous memory spaces and the virtual address of the remote memory space into which the local memory spaces are written.

A RDMA FetchOp work queue element provides a memory semantic operation to perform an atomic operation on a remote word. The RDMA FetchOp work queue element is a combined RDMA Read, Modify, and RDMA Write operation.

25 The RDMA FetchOp work queue element can support several read-modify-write operations, such as Compare and Swap if equal.

A bind (unbind) remote access key (R_Key) work queue element provides a command to the host channel adapter hardware to modify (destroy) a memory window by associating (disassociating) the memory window to a memory region. The R Key is part of each RDMA access and

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is used to validate that the remote process has permitted access to the buffer.

In one embodiment, receive work queue **400** shown in **Figure 4** only supports one type of work queue element, which is referred to as a receive work queue element. The receive work queue element provides a channel semantic operation describing a local memory space into which incoming send messages are written. The receive work queue element includes a scatter list describing several virtually contiguous memory spaces. An incoming send message is written to these memory spaces. The virtual addresses are in the address context of the process that created the local queue pair.

For interprocessor communications, a user-mode software process transfers data through queue pairs directly from where the buffer resides in memory. In one embodiment, the transfer through the queue pairs bypasses the operating system and consumes few host instruction cycles. Queue pairs permit zero processor-copy data transfer with no operating system kernel involvement. The zero processor-copy data transfer provides for efficient support of high-bandwidth and low-latency communication.

When a queue pair is created, the queue pair is set to provide a selected type of transport service. In one embodiment, a distributed computer system implementing the present invention supports four types of transport services: reliable, unreliable, reliable datagram, and unreliable datagram connection service.

Reliable and Unreliable connected services associate a local queue pair with one and only one remote queue pair. Connected services require a process to create a

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A portion of a distributed computer system employing a reliable connection service to communicate between distributed processes is illustrated generally in **Figure 5**. The distributed computer system **500** in **Figure 5** includes a host processor node 1, a host processor node 2, and a host processor node 3. Host processor node 1 includes a process A **510**. Host processor node 2 includes a process C **520** and a process D **530**. Host processor node 3 includes a process E **540**.

Host processor node 1 includes queue pairs 4, 6 and 7, each having a send work queue and receive work queue.

Host processor node 2 has a queue pair 9 and host processor node 3 has queue pairs 2 and 5. The reliable connection service of distributed computer system 500 associates a local queue pair with one and only one remote queue pair. Thus, the queue pair 4 is used to communicate with queue pair 2; queue pair 7 is used to communicate with queue pair 5; and queue pair 6 is used to communicate with queue pair 9.

A WQE placed on one queue pair in a reliable connection service causes data to be written into the receive memory space referenced by a Receive WQE of the
30 connected queue pair. RDMA operations operate on the address space of the connected queue pair.

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In one embodiment of the present invention, the reliable connection service is made reliable because hardware maintains sequence numbers and acknowledges all packet transfers. A combination of hardware and SAN driver software retries any failed communications. The process client of the queue pair obtains reliable communications even in the presence of bit errors, receive underruns, and network congestion. If alternative paths exist in the SAN fabric, reliable communications can be maintained even in the presence of failures of fabric switches, links, or channel adapter ports.

In addition, acknowledgments may be employed to deliver data reliably across the SAN fabric. The acknowledgment may, or may not, be a process level acknowledgment, i.e. an acknowledgment that validates that a receiving process has consumed the data. Alternatively, the acknowledgment may be one that only indicates that the data has reached its destination.

Reliable datagram service associates a local end-to-end (EE) context with one and only one remote end-to-end context. The reliable datagram service permits a client process of one queue pair to communicate with any other queue pair on any other remote node. At a receive work queue, the reliable datagram service permits incoming messages from any send work queue on any other remote node.

The reliable datagram service greatly improves scalability because the reliable datagram service is connectionless. Therefore, an endnode with a fixed number of queue pairs can communicate with far more processes and endnodes with a reliable datagram service

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than with a reliable connection transport service. For example, if each of N host processor nodes contain P processes, and all P processes on each node wish to communicate with all the processes on all the other
5 nodes, the reliable connection service requires $P^2 \times (N - 1)$ queue pairs on each node. By comparison, the connectionless reliable datagram service only requires P queue pairs + $(N - 1)$ EE contexts on each node for exactly the same communications.

10 A portion of a distributed computer system employing a reliable datagram service to communicate between distributed processes is illustrated in **Figure 6**. The distributed computer system **600** in **Figure 6** includes a host processor node 1, a host processor node 2, and a
15 host processor node 3. Host processor node 1 includes a process A **610** having a queue pair 4. Host processor node 2 has a process C **620** having a queue pair 24 and a process D **630** having a queue pair 25. Host processor node 3 has a process E **640** having a queue pair 14.

20 In the reliable datagram service implemented in the distributed computer system **600**, the queue pairs are coupled in what is referred to as a connectionless transport service. For example, a reliable datagram service couples queue pair 4 to queue pairs 24, 25 and
25 14. Specifically, a reliable datagram service allows queue pair 4's send work queue to reliably transfer messages to receive work queues in queue pairs 24, 25 and 14. Similarly, the send queues of queue pairs 24, 25, and 14 can reliably transfer messages to the receive work
30 queue in queue pair 4.

In one embodiment of the present invention, the reliable datagram service employs sequence numbers and

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acknowledgments associated with each message frame to ensure the same degree of reliability as the reliable connection service. End-to-end (EE) contexts maintain end-to-end specific state to keep track of sequence
5 numbers, acknowledgments, and time-out values. The end-to-end state held in the EE contexts is shared by all the connectionless queue pairs communication between a pair of endnodes. Each endnode requires at least one EE context for every endnode it wishes to communicate with
10 in the reliable datagram service (e.g., a given endnode requires at least N EE contexts to be able to have reliable datagram service with N other endnodes).

The unreliable datagram service is connectionless. The unreliable datagram service is employed by management
15 applications to discover and integrate new switches, routers, and endnodes into a given distributed computer system. The unreliable datagram service does not provide the reliability guarantees of the reliable connection service and the reliable datagram service. The
20 unreliable datagram service accordingly operates with less state information maintained at each endnode.

Turning next to **Figure 7**, an illustration of a data packet is depicted in accordance with a preferred embodiment of the present invention. A data packet is a
25 unit of information that is routed through the SAN fabric. The data packet is an endnode-to-endnode construct, and is thus created and consumed by endnodes. For packets destined to a channel adapter (either host or target), the data packets are neither generated nor
30 consumed by the switches and routers in the SAN fabric. Instead for data packets that are destined to a channel adapter, switches and routers simply move request packets

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or acknowledgment packets closer to the ultimate destination, modifying the variant link header fields in the process. Routers, also modify the packet's network header when the packet crosses a subnet boundary. In
5 traversing a subnet, a single packet stays on a single service level.

Message data **700** contains data segment 1 **702**, data segment 2 **704**, and data segment 3 **706**, which are similar to the data segments illustrated in **Figure 4**. In this
10 example, these data segments form a packet **708**, which is placed into packet payload **710** within data packet **712**. Additionally, data packet **712** contains CRC **714**, which is used for error checking. Additionally, routing header **716** and transport **718** are present in data packet **712**.
15 Routing header **716** is used to identify source and destination ports for data packet **712**. Transport header **718** in this example specifies the destination queue pair for data packet **712**. Additionally, transport header **718** also provides information such as the operation code,
20 packet sequence number, and partition for data packet **712**.

The operating code identifies whether the packet is the first, last, intermediate, or only packet of a message. The operation code also specifies whether the
25 operation is a send RDMA write, read, or atomic. The packet sequence number is initialized when communication is established and increments each time a queue pair creates a new packet. Ports of an endnode may be configured to be members of one or more possibly
30 overlapping sets called partitions.

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In **Figure 8**, a portion of a distributed computer system is depicted to illustrate an example request and acknowledgment transaction. The distributed computer system in **Figure 8** includes a host processor node **802** and a host processor node **804**. Host processor node **802** includes a host channel adapter **806**. Host processor node **804** includes a host channel adapter **808**. The distributed computer system in **Figure 8** includes a SAN fabric **810**, which includes a switch **812** and a switch **814**. The SAN fabric includes a link coupling host channel adapter **806** to switch **812**; a link coupling switch **812** to switch **814**; and a link coupling host channel adapter **808** to switch **814**.

In the example transactions, host processor node **802** includes a client process A. Host processor node **804** includes a client process B. Client process A interacts with host channel adapter hardware **806** through queue pair **824**. Client process B interacts with hardware channel adapter hardware **808** through queue pair **828**. Queue pairs **824** and **828** are data structures that include a send work queue and a receive work queue.

Process A initiates a message request by posting work queue elements to the send queue of queue pair **824**. Such a work queue element is illustrated in **Figure 4**. The message request of client process A is referenced by a gather list contained in the send work queue element. Each data segment in the gather list points to a virtually contiguous local memory region, which contains a part of the message, such as indicated by data segments 1, 2, and 3, which respectively hold message parts 1, 2, and 3, in **Figure 4**.

In reference to **Figure 9**, a diagram illustrating the network addressing used in a distributed networking system is depicted in accordance with the present invention. A host name provides a logical identification for a host node, such as a host processor node or I/O adapter node. The host name identifies the endpoint for messages such that messages are destined for processes residing on an end node specified by the host name. Thus, there is one host name per node, but a node can have multiple CAs.

A single IEEE assigned 64-bit identifier (EUI-64) **902** is assigned to each component. A component can be a switch, router, or CA.

One or more globally unique ID (GUID) identifies **904**
25 are assigned per CA port **906**. Multiple GUIDs (a.k.a. IP
addresses) can be used for several reasons, some of which
are illustrated by the following examples. In one
embodiment, different IP addresses identify different
partitions or services on an end node. In a different
30 embodiment, different IP addresses are used to specify
different Quality of Service (QoS) attributes. In yet
another embodiment, different IP addresses identify

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different paths through intra-subnet routes. One GUID **908** is assigned to a switch **910**.

A local ID (LID) refers to a short address ID used to identify a CA port within a single subnet. In one
5 example embodiment, a subnet has up to 2^{16} end nodes, switches, and routers, and the LID is accordingly 16 bits. A source LID (SLID) and a destination LID (DLID) are the source and destination LIDs used in a local network header. A single CA port **1006** has up to 2^{LMC}
10 LIDs **912** assigned to it. The LMC represents the LID Mask Control field in the CA. A mask is a pattern of bits used to accept or reject bit patterns in another set of data.

Multiple LIDs can be used for several reasons some of which are provided by the following examples. In one
15 embodiment, different LIDs identify different partitions or services in an end node. In another embodiment, different LIDs are used to specify different QoS attributes. In yet a further embodiment, different LIDs specify different paths through the subnet. A single
20 switch port **914** has one LID **916** associated with it.

A one-to-one correspondence does not necessarily exist between LIDs and GUIDs, because a CA can have more or less LIDs than GUIDs for each port. For CAs with
25 redundant ports and redundant conductivity to multiple SAN fabrics, the CAs can, but are not required to, use the same LID and GUID on each of its ports.

A portion of a distributed computer system in accordance with a preferred embodiment of the present invention is illustrated in **Figure 10**. Distributed
30 computer system **1000** includes a subnet **1002** and a subnet **1004**. Subnet **1002** includes host processor nodes **1006**, **1008**, and **1010**. Subnet **1004** includes host processor

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nodes **1012** and **1014**. Subnet **1002** includes switches **1016** and **1018**. Subnet **1004** includes switches **1020** and **1022**.

Routers connect subnets. For example, subnet **1002** is connected to subnet **1004** with routers **1024** and **1026**.

5 In one example embodiment, a subnet has up to 216 endnodes, switches, and routers.

A subnet is defined as a group of endnodes and cascaded switches that is managed as a single unit. Typically, a subnet occupies a single geographic or
10 functional area. For example, a single computer system in one room could be defined as a subnet. In one embodiment, the switches in a subnet can perform very fast wormhole or cut-through routing for messages.

A switch within a subnet examines the DLID that is
15 unique within the subnet to permit the switch to quickly and efficiently route incoming message packets. In one embodiment, the switch is a relatively simple circuit, and is typically implemented as a single integrated circuit. A subnet can have hundreds to thousands of
20 endnodes formed by cascaded switches.

As illustrated in **Figure 10**, for expansion to much larger systems, subnets are connected with routers, such as routers **1024** and **1026**. The router interprets the IP destination ID (e.g., IPv6 destination ID) and routes the
25 IP-like packet.

An example embodiment of a switch is illustrated generally in **Figure 3B**. Each I/O path on a switch or router has a port. Generally, a switch can route packets from one port to any other port on the same switch.

30 Within a subnet, such as subnet **1002** or subnet **1004**, a path from a source port to a destination port is determined by the LID of the destination host channel

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adapter port. Between subnets, a path is determined by the IP address (e.g., IPv6 address) of the destination host channel adapter port and by the LID address of the router port which will be used to reach the destination's
5 subnet.

In one embodiment, the paths used by the request packet and the request packet's corresponding positive acknowledgment (ACK) or negative acknowledgment (NAK) frame are not required to be symmetric. In one
10 embodiment employing oblivious routing, switches select an output port based on the DLID. In one embodiment, a switch uses one set of routing decision criteria for all its input ports. In one example embodiment, the routing decision criteria are contained in one routing table. In
15 an alternative embodiment, a switch employs a separate set of criteria for each input port. A data transaction in the distributed computer system of the present invention is typically composed of several hardware and software steps. A client process data
20 transport service can be a user-mode or a kernel-mode process. The client process accesses host channel adapter hardware through one or more queue pairs, such as the queue pairs illustrated in **Figures 3A, 5, and 6**. The client process calls an operating-system specific
25 programming interface, which is herein referred to as "verbs." The software code implementing verbs posts a work queue element to the given queue pair work queue.

There are many possible methods of posting a work queue element and there are many possible work queue
30 element formats, which allow for various cost/performance design points, but which do not affect interoperability. A user process, however, must communicate to verbs in a

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well-defined manner, and the format and protocols of data transmitted across the SAN fabric must be sufficiently specified to allow devices to interoperate in a heterogeneous vendor environment.

5 In one embodiment, channel adapter hardware detects work queue element postings and accesses the work queue element. In this embodiment, the channel adapter hardware translates and validates the work queue element's virtual addresses and accesses the data.

10 An outgoing message is split into one or more data packets. In one embodiment, the channel adapter hardware adds a transport header and a network header to each packet. The transport header includes sequence numbers and other transport information. The network header
15 includes routing information, such as the destination IP address and other network routing information. The link header contains the Destination Local Identifier (DLID) or other local routing information. The appropriate link header is always added to the packet. The appropriate
20 global network header is added to a given packet if the destination endnode resides on a remote subnet.

 If a reliable transport service is employed, when a request data packet reaches its destination endnode, acknowledgment data packets are used by the destination
25 endnode to let the request data packet sender know the request data packet was validated and accepted at the destination. Acknowledgment data packets acknowledge one or more valid and accepted request data packets. The requestor can have multiple outstanding request data
30 packets before it receives any acknowledgments. In one embodiment, the number of multiple outstanding messages,

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i.e. Request data packets, is determined when a queue pair is created.

One embodiment of a layered architecture **1100** for implementing the present invention is generally
5 illustrated in diagram form in **Figure 11**. The layered architecture diagram of **Figure 11** shows the various layers of data communication paths, and organization of data and control information passed between layers.

Host channel adaptor endnode protocol layers
10 (employed by endnode **1111**, for instance) include an upper level protocol **1102** defined by consumer **1103**, a transport layer **1104**; a network layer **1106**, a link layer **1108**, and a physical layer **1110**. Switch layers (employed by switch **1113**, for instance) include link layer **1108** and physical
15 layer **1110**. Router layers (employed by router **1115**, for instance) include network layer **1106**, link layer **1108**, and physical layer **1110**.

Layered architecture **1100** generally follows an outline of a classical communication stack. With respect
20 to the protocol layers of end node **1111**, for example, upper layer protocol **1102** employs verbs (**1112**) to create messages at transport layer **1104**. Transport layer **1104** passes messages (**1114**) to network layer **1106**. Network layer **1106** routes packets between network subnets (**1116**).
25 Link layer **1108** routes packets within a network subnet (**1118**). Physical layer **1110** sends bits or groups of bits to the physical layers of other devices. Each of the layers is unaware of how the upper or lower layers perform their functionality.

30 Consumers **1103** and **1105** represent applications or processes that employ the other layers for communicating

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between endnodes. Transport layer **1104** provides end-to-end message movement. In one embodiment, the transport layer provides four types of transport services as described above which are reliable connection service; reliable datagram service; unreliable datagram service; and raw datagram service. Network layer **1106** performs packet routing through a subnet or multiple subnets to destination endnodes. Link layer **1108** performs flow-controlled, error checked, and prioritized packet delivery across links.

Physical layer **1110** performs technology-dependent bit transmission. Bits or groups of bits are passed between physical layers via links **1122, 1124, and 1126**. Links can be implemented with printed circuit copper traces, copper cable, optical cable, or with other suitable links.

As mentioned above, the present invention provides an apparatus and method for swapping-out real memory by inhibiting input/output (I/O) operations to an associated memory region. Swapping out of real memory refers to replacing one segment of a program in memory with another and restoring it back to the original when required. In virtual memory systems, it is sometimes referred to as "paging."

The hardware mechanisms of the SAN nodes enforce the inhibition of I/Os until the real memory has been swapped back in. Once the real memory is swapped back in, the sending of I/O requests to the memory region is again enabled. In this way, originally pinned memory may be accessed by other applications when that memory is not being accessed by the owning application.

The data segments illustrated in **Figure 4** consist of a virtual address, a length and a local key (L_Key). The L_Key is used to reference an entry that defines the characteristics of a memory region and to control access to that region. These elements define the location within a memory region that the data will be moved from (in the case of a send WQE on a send queue) or to (in the case of a receive WQE on a RQ). This data movement is also referred to as a transaction.

Memory management is the process whereby this information is used to verify the access rights for this transaction and to determine the real addresses to be used for the data transfer. The access rights include but are not limited to the following checks: the data segment defined above must fall within the memory region, if a receive operation is performed on the memory region, the memory region must allow write access, the protection domain of the QP must be the same as the protection domain of the memory region, and the like. A more detailed description of access rights is provided in commonly assigned and co-pending U.S. Patent Application Serial No. _____ (Attorney Docket No. AUS9-2000-640US1), entitled "METHOD AND APPARATUS FOR MANAGING ACCESS TO MEMORY," filed _____, which is hereby incorporated by reference.

In addition a similar memory management process is used when this same information (virtual address, length and remote key (R_Key), which is identical in structure to an L_Key) is provided in a remote direct memory access (RDMA) packet as part of a remote access.

Figure 12 illustrates a two-table memory management scheme described in the incorporated U.S. Patent

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Application Serial No. _____ (Attorney Docket No. AUS92000640US1). As shown in **Figure 12**, the protection table **1210** is indexed by a portion of a Local Key (L_Key) or Remote Key (R_Key), i.e. key index **1220**, to access the
5 protection table entry **1212** that is associated with a given memory region **1230**.

The protection table entry **1212** defines, among other things, the starting and ending virtual address **1214** of the memory region **1230**, the access rights **1215** of the
10 region, e.g., write access allowed, remote access allowed, windows may be bound to this region, etc., and a valid bit **1216** to indicate that this is a valid protection table entry which defines the characteristics of a previously registered memory region. This valid bit
15 is used to prevent accesses after the region is deregistered to prevent corruption of the memory that may now be owned by a new application.

In addition to the above, the protection table entry **1212** includes a pointer **1217** to an address translation
20 table **1240** for the memory region **1230**. The address translation table **1240** may be a single table or a plurality of smaller address translation tables without departing from the spirit and scope of the present invention.

25 The address translation table **1240** contains a list of address translation table entries **1242** which include page pointers that each consist of a real address of a page that is part of the memory region **1230**. The address translation table **1240** is indexed by an offset into the
30 memory region which is calculated by subtracting the starting virtual address of the memory region from the

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virtual address specified in the work queue data segment for a local access, or the data packet for a remote access. By using the protection table **1210** and the address translation table **1240** illustrated in **Figure 12**,
5 the HCA hardware is able to determine if a given access is permitted, and if so, to determine the real addresses at which the data transfer is to occur.

There may be instances when the real memory backing a memory region needs to be temporarily swapped out, such
10 as in an environment where operating system (OS) images (called guests) themselves are virtualized by a Hypervisor (i.e. guest real memory is the Hypervisor's virtual memory). That is, in order to share the processing capabilities of a system it is possible to
15 have multiple Operating systems running on the same hardware. Each operating system thinks it owns the hardware resources and has no knowledge of the other operating systems that are running on this hardware. Each operating system has its own address space for
20 accessing memory. In this environment it is necessary for a controlling entity to arbitrate for access to the shared hardware resources and to translate the "virtual addresses" that each operating system is aware of into the real addresses that are implemented in the hardware.
25 This controlling entity is typically called a Hypervisor.

The virtualization of the operating system allows an operating system to co-exist with other operating systems while using the same hardware.

The InfiniBand (IB) specification, i.e. the
30 specification covering a specific type of system area network in which the present invention may be implemented, states that this flexibility is not

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10 The specific model that is being addressed in the
preferred embodiments is the master/slave model where all
I/O operations are explicitly initiated by the operating
system, or guest, managing the memory region. No I/O is
ever initiated by the slave devices in this model. This
15 model is very prevalent in the DASD I/O arena. While the
preferred embodiments make use of the master/slave model,
other models may be used without departing from the
spirit and scope of the present invention.

To enable real memory swap support, the guest channel interface (CI) provides an interface to allow the consumer within the guest to communicate directly with the supporting Hypervisor. This interface may then be used by the guest consumer (not the channel interface (CI), as only the consumer has the appropriate knowledge) to guarantee that there will be no accesses to a memory region while it is swapped out. The consumer may use the interface while the channel interface cannot because the consumer is responsible for the upper-level protocol running above the SAN protocol. The CI is only aware of the SAN protocol layers. The consumer can guarantee that there will be no accesses to the memory region by not initiating any operations that access the memory region.

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The consumer communicates with the Hypervisor to inform the Hypervisor when it is safe to swap-out the memory region and also when the memory region needs to be paged back in so that operations may resume.

- 5 The specific interface includes defining an optional non-standard-IB current I/O field as part of the implementation of the register-memory-region verb (or an additional related verb). The register memory region verb is the mechanism that the consumer uses to define
- 10 the memory region's characteristics. This results in a protection table entry being created for the region and also an L_Key being allocated for the region. Advanced consumers, i.e. consumers that support the enhanced capabilities of the present invention to allow memory
- 15 regions to be swapped out, specifying this current I/O field inform the CI that the consumer understands the responsibilities involved with allowing their memory regions to be swapped out. The location of the current I/O field is then also passed to the Hypervisor as part
- 20 of the memory region registration processing.

- This current I/O field resides in fixed memory (from the guest's perspective) and is used by a guest to contain a count of the number of I/O's that are currently outstanding against that memory region. These I/O's may
- 25 be of either channel semantic type or memory semantic type. The consumer knows when to decrement this count based upon the type of I/O that was launched. For example a SCSI command may have been sent to a SCSI device that requests a single RDMA read to be performed,
- 30 after which a response is sent to the initiator's receive queue to indicate the results of this operation. In this example, if the request, response and RDMA data transfer

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are to/from the same memory region, the consumer knows that there is one send, one RDMA and one receive outstanding, giving a total count of three. When this count is zero, no I/O's are outstanding against that
5 memory region.

It is assumed that all updates to this field are performed using atomic instructions. However, other mechanisms may be used without departing from the spirit and scope of the present invention. For example, an
10 alternative mechanism may be to wait for the completion response from the device, which guarantees that the preceding request and RDMA have completed.

The current I/O field acts as a shared memory communication vehicle between the consumer within a
15 guest, and the Hypervisor. Specifically, when the Hypervisor desires a memory region swap out, it updates the quiesce indicator in the current I/O field (via an atomic instruction, for example) to state that the guest should quiesce outgoing I/O's against that memory region
20 i.e. no further operations are initiated or executed. If the count is zero when the quiesce indicator is set, then the Hypervisor is free to begin the swap-out processing immediately, because the consumer within the guest has promised that it will not initiate I/O's while this
25 indicator is set. The consumer made this promise by indicating its support of this advanced function when the consumer registered the memory region. If the count is non-zero, then the Hypervisor must wait until the consumer within the guest explicitly issues a "memory
30 region quiesced" service to the Hypervisor, when the last outstanding I/O completes.

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15 When there are no outstanding I/O operations, the Hypervisor sets the valid bit in the protection table entry to indicate that the address translation table that defines the memory region is not valid. From an HCA perspective, this effectively temporarily de-registers the memory region, although the Address Translation Tables are retained (i.e. the L_Key/R_Key remains reserved so that nobody else can re-use this protection table entry). After this bit is set to not valid, the HCA prevents any accesses to this region, giving a protection check, should any device erroneously try to access it (just as it would if the region was not registered). Hence the consumer's promise of not initiating new I/O's becomes strictly enforced by the HCA hardware.

30 Once the memory region has been placed in this state
 (protection table entry not valid), the Hypervisor is
 free to swap out any of the pages that make up the memory

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region, and reuse the associated real memory. This design assumes that the protection table and address translation tables remain intact. This significantly reduces the complexities/overheads associated with the temporary swap out processing done by the Hypervisor. Given that protection table and address translation tables consume relatively fewer pages, this should not be a significant loss in function.

When the Hypervisor reactivates the memory region, the characteristics of the region, as defined in the protection table entry must remain the same, although the entries in the Address Translation Tables may need to be modified to reflect the new locations of the pages that make up the region. For example, the Hypervisor may determine the new addresses of the pages of the new location of the memory region and write these new values into the address translation table.

After the Address Translation Tables have been updated, the Hypervisor sets the Protection Table Entry valid bit back to the valid state (without changing any other values in this entry). Finally the Hypervisor resets the quiesce indicator within the memory region I/O count field, and optionally reactivates a pending guest consumer. Consumer initiated I/O operations that access this memory region may then resume.

This design assumes that the memory window implementation uses the identical control structure as the original memory regions. That is, the memory window has its own protection table entry that references the memory region's protection table entry to which the window is bound.

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When a memory window is accessed, the HCA checks that the region to which it is bound is still valid by checking this same valid bit. When this is combined with
5 the invention described above, i.e. the protection and address translation tables remain intact across a swap out, no additional action is required by either the Hypervisor or the HCA to support swapping out memory regions that contain memory windows. The existing
10 protection checks prevent memory window access to swapped out memory regions.

Figure 13 is a flowchart outlining an exemplary operation of the present invention when swapping out a memory region. As shown in **Figure 13**, the operation
15 starts with the initiation of a memory swap out (step **1310**). The arbitrator, e.g., Hypervisor, sets a quiesce indicator in a current I/O field associated with the memory region to be swapped out (step **1320**). A determination is made as to whether the count of pending
20 I/O's in the current I/O field is zero (step **1330**). If so, the operation goes to step **1350**.

If the count of pending I/O's in the current I/O field is not zero, the operation waits until the count is zero (step **1340**). Once the count is zero, the valid bit
25 for the memory region in the protection table is set to indicate that the memory region is invalid (step **1350**). Thereafter, the memory region is swapped out (step **1360**).

Once the memory region is swapped out, the Hypervisor can use the swapped out memory to benefit
30 other consumers until interest in the swapped out memory region is raised by the owning guest (step **1365**). At that time the address translation tables are updated to

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reflect any new locations of memory pages that make up the memory region (step **1370**). Thereafter, the valid bit in the protection table for this memory region is reset to indicate the memory region as being valid (step **1380**).

- 5 The quiesce indicator in the current I/O field is then reset (step **1390**) so that I/Os to the memory region may again commence. The operation then ends.

Thus, the present invention provides a mechanism by which swapping out of memory regions may be performed in
10 a system area network. The present invention provides guarantees that I/O operations will not be made to a memory region that is being swapped out. These guarantees are enforced by hardware in the system area network.

- 15 It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present invention are capable of being distributed in
20 the form of a computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of computer readable media
25 include recordable-type media such a floppy disc, a hard disk drive, a RAM, and CD-ROMs and transmission-type media such as digital and analog communications links.

- The description of the present invention has been presented for purposes of illustration and description,
30 but is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in

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the art. The embodiment was chosen and described in order to best explain the principles of the invention, the practical application, and to enable others of ordinary skill in the art to understand the invention for
5 various embodiments with various modifications as are suited to the particular use contemplated.

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